



|   |                               |   |
|---|-------------------------------|---|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><br>(Only for new nonprovisional applications<br>under 37 CFR 1.53(b)) | <b>Title of Invention</b>     | <b>HEALTH MONITORING AND DIAGNOSTIC DEVICE AND<br/>NETWORK-BASED HEALTH ASSESSMENT AND<br/>MEDICAL RECORDS MAINTENANCE SYSTEM</b> |
|   | <b>Named Inventor(s)</b>      | <b>MAUS, et. al.</b>  |
|   | <b>Attorney Docket</b>        | <b>4L01.1-065</b>   |
|   | <b>Express Mail Label No.</b> | <b>EV 359500849 US</b>  |

22387 U.S. PTO  
10/64994  
08/26/03

| APPLICATION ELEMENTS   |                        | ADDRESS TO: Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, D.C. 20231   |  |
|--|------------------------|--|--|
| <b>ACCOMPANYING APPLICATION PARTS</b>  |                        | <b>ACCOMPANYING APPLICATION PARTS (Cont.)</b>  |  |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form<br>(Submit an original, and a duplicate for fee processing)  |                        | 7. <input type="checkbox"/> Microfiche Computer Program (Appendix)   |  |
| 2. <input checked="" type="checkbox"/> Applicant Claims Small Entity Status.   |                        | 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Copy<br>b. <input type="checkbox"/> Paper Copy (identical to computer copy)<br>c. <input type="checkbox"/> Statement verifying identity of above copies |  |
| 3. <input checked="" type="checkbox"/> Specification, Claims, and Abstract   | Total Pages: <u>78</u> | 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))   |  |
| 4. <input checked="" type="checkbox"/> Drawings  | Total Sheets <u>30</u> | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)<br><input type="checkbox"/> Power of Attorney by assignee  |  |
| 5. Oath or Declaration Total Pages: <u>07</u><br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input checked="" type="checkbox"/> Copy from prior application (37 CFR 1.63(d))<br>(for continuation/divisional with Box 17 completed)<br>[Note Box 5 Below]<br>(i) <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). |                        | 11. <input type="checkbox"/> English Translation Document (if applicable)  |  |
| 6. <input type="checkbox"/> Incorporation by Reference<br>(usable if Box 4b is checked)<br>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.   |                        | 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) PTO-1449<br><input type="checkbox"/> Copies of IDS Citations  |  |
|  |                        | 13. <input checked="" type="checkbox"/> Preliminary Amendment  |  |
|  |                        | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)  |  |
|  |                        | 15. <input type="checkbox"/> Certified Copy of Priority Document(s)  |  |
|  |                        | 16. <input checked="" type="checkbox"/> Other: <u>Return postcard</u>  |  |

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/436,323

Prior application information: Examiner: Samuel P. Siefke Group Art unit: 1743

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Please direct all correspondence to:

☒ Customer Number 35725

And To:

**CORRESPONDENCE ADDRESS:**

Michael J. Mehrman, Esq.

MEHRMAN LAW OFFICE, P.C.  
One Premier Plaza  
5605 Glenridge Dr., Ste. 795 B  
Atlanta, Georgia 30342

By:

MICHAEL J. MEHRMAN  
Patent Agent, Reg. No.: 40,086

Date: August 26, 2003  
Telephone: 404 497 7400  
Facsimile: 404 497 7405

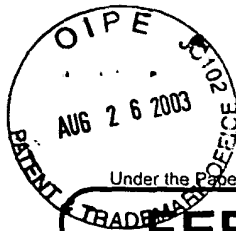


Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service by Express Mail, label No.: **EV 359500849 US**, in an envelope addressed to: Mail Stop: CPA, Commissioner for patents, P.O. Box 1450, Alexandria, VA. 22313-1450, on August 26, 2003

Acknowledged by:

Teri L. Bonica



16623 U.S. PTO



08/26/03

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 375)

**Complete if Known**

|                      |                      |
|----------------------|----------------------|
| Application Number   | Filed Herewith       |
| Filing Date          | August 26, 2003      |
| First Named Inventor | MAUS, Christopher T. |
| Examiner Name        | SIEFKE, Samuel P.    |
| Art Unit             | 1743                 |
| Attorney Docket No.  | 4L01.1-065           |

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number: 50-2591  
Deposit Account Name: MEHRMAN LAW OFFICE, P.C.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☐ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001         | 750      | 2001         | 375      | Utility filing fee     | 375      |
| 1002         | 330      | 2002         | 165      | Design filing fee      |          |
| 1003         | 520      | 2003         | 260      | Plant filing fee       |          |
| 1004         | 750      | 2004         | 375      | Reissue filing fee     |          |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |          |
| SUBTOTAL (1) |          |              |          |                        | (\$ 375) |

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

| Total Claims |          | Extra Claims |     | Fee from below |  | Fee Paid |   |
|--------------|----------|--------------|-----|----------------|--|----------|---|
| Independent  | Multiple | 20**         | 3** |                |  |          |   |
| 1            | 1        | 0            | 0   | X              |  | 0        | 0 |
|              |          |              |     | X              |  | 0        | 0 |
|              |          |              |     |                |  | 0        | 0 |

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                     |
| 1201         | 84       | 2201         | 42       | Independent claims in excess of 3                          |
| 1203         | 280      | 2203         | 140      | Multiple dependent claim, if not paid                      |
| 1204         | 84       | 2204         | 42       | ** Reissue independent claims over original patent         |
| 1205         | 18       | 2205         | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|----------|----------|----------|----------|--|----------|
| 1051     | 130      | 2051     | 65       | Surcharge - late filing fee or oath  |          |
| 1052     | 50       | 2052     | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053     | 130      | 1053     | 130      | Non-English specification  |          |
| 1812     | 2,520    | 1812     | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804     | 920*     | 1804     | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805     | 1,840*   | 1805     | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251     | 110      | 2251     | 55       | Extension for reply within first month                                     |          |
| 1252     | 410      | 2252     | 205      | Extension for reply within second month                                    |          |
| 1253     | 930      | 2253     | 465      | Extension for reply within third month                                     |          |
| 1254     | 1,450    | 2254     | 725      | Extension for reply within fourth month                                    |          |
| 1255     | 1,970    | 2255     | 985      | Extension for reply within fifth month                                     |          |
| 1401     | 320      | 2401     | 160      | Notice of Appeal   |          |
| 1402     | 320      | 2402     | 160      | Filing a brief in support of an appeal                                     |          |
| 1403     | 280      | 2403     | 140      | Request for oral hearing   |          |
| 1451     | 1,510    | 1451     | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452     | 110      | 2452     | 55       | Petition to revive - unavoidable   |          |
| 1453     | 1,300    | 2453     | 650      | Petition to revive - unintentional   |          |
| 1501     | 1,300    | 2501     | 650      | Utility issue fee (or reissue)   |          |
| 1502     | 470      | 2502     | 235      | Design issue fee   |          |
| 1503     | 630      | 2503     | 315      | Plant issue fee  |          |
| 1460     | 130      | 1460     | 130      | Petitions to the Commissioner  |          |
| 1807     | 50       | 1807     | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806     | 180      | 1806     | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021     | 40       | 8021     | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809     | 750      | 2809     | 375      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810     | 750      | 2810     | 375      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801     | 750      | 2801     | 375      | Request for Continued Examination (RCE)                                    |          |
| 1802     | 900      | 1802     | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

**SUBMITTED BY**

(Complete (if applicable))

|                   |                    |                                   |                 |           |              |
|-------------------|--------------------|-----------------------------------|-----------------|-----------|--------------|
| Name (Print/Type) | Michael J. Mehrman | Registration No. (Attorney/Agent) | 40,086          | Telephone | 404-497-7400 |
| Signature         |                    | Date                              | August 26, 2003 |           |              |

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.